Patent 7,341,843

PATENT

IN UNITED STATES PATENT AND TRADEMARK OFFICE

Patent No.:

7,341,843

Docket No: 17525 (AP)

Issue Date:

March 11, 2008

Patentee: M. Zouhair Atassi

Title

BOTULINUM TOXIN A PEPTIDES AND METHODS OF PREDICTING AND

REDUCING IMMUNORESISTANCE TO BOTULINUM TOXIN THERAPY

REQUEST FOR CERTIFICATION OF CORRECTION

Attn: Certificate of Correction Branch

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

It is our request to correct the Assignee information on the issued U.S. Patent. We inadvertently failed to list the second Assignee on the Issue Fee Transmittal. The Assignment was recorded in the USPTO under both Assignees on May 11, 2007; Reel/Frame 019279/0979. We are attaching a copy of the Recorded Assignment and the Assignments Data Sheet from the USPTO.

Issuance of the Certificate of Correction would neither expand nor contract the scope of the claims as properly allowed, and re-examination is not required.

Pursuant to 1.20(a), the examiner is authorized to charge the Certificate of Correction fee of \$100.00 to the Deposit Account No.01-0885.

The Examiner is authorized to charge any additional fees for this present request or credit overpayment to Deposit Account No. 01-0885.

Respectfully submitted

Date: October 2, 2008

By: /Dean G. Stathakis/

Allergan, Inc. 2525 Dupont Drive

Irvine, CA 92612

Direct: 714-246-6521 Fax: 714-246-4249

Attorney Name: Dean G. Stathakis

Reg. No.: 54,465

UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO

: 7,341,843

DATED

: March 11, 2008

INVENTOR(S)

: Atassi

It is certified that errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On page 1, in field (73), Assignee: after "Allergan, Inc., Irvine, CA (US)", add -Baylor College of Medicine, Houston, TX (US)--

MAILING ADDRESS OF SENDER: Dean G. Stathakis-T2-7H Allergan, Inc. 2525 Dupont Drive Irvine, CA 92612

PATENT NO. 7,341,843

Atty Docket No: 17525 (AP)



United States Patent and Trademark Office

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17525

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BOTULINUM TOXIN A PEPTIDES AND METHODS OF PR BOTULINUM TOXIN THERAPY

Select New Case

10/821,669

Application Transaction image File Patent Term
Data History Wrappen Adjustments

Adjustments 3

Continuity Data

Fees

Patent Assignment Abst

Total Assignments: 2

Application #: 10821669

PCT #: NONE

Filing Dt: 04/09/2004

Put

Inventor: M. Zouhair Atassi

Title: BOTULINUM TOXIN A PEPTIDES AND METHODS OF PREDICTING AND REDUCING IMML

Assianment: 1

Reel/Frame: 015072 / 0089

Received: 08/18/2004

Recorded: 08/:

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignor: ATASSI, M. ZOUHAIR Assignee: ALLERGAN, INC.

2525 DUPONT DRIVE

IRVINE, CALIFORNIA 92612

Correspondent: MCDERMOTT WILL & EMERY LLP

4370 LA JOLLA VILLAGE DRIVE,

SUITE 700

SAN DIEGO, CA 92122

Assignment: 2

Reel/Frame: 019279 / 0979

Received: 05/11/2007

Recorded: 05/:

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignor: ATASSI, M. ZOUHAIR Assignees: ALLERGAN, INC.

2525 DUPONT DRIVE

T2-7H

IRVINE, CALIFORNIA 92512 BAYLOR COLLEGE OF MEDICINE

ONE BAYLOR PLAZA HOUSTON, TEXAS 77030

Correspondent: DEAN G. STATHAKIS

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IRVINE, CA 92612

Disclaimer:

Assignment information on the assignment database reflects assignment documents that have been If the assignment for a patent was not recorded, the name of the assignee on the patent application ff you have any comments or questions concerning the data displayed, contact OPR / Assignments

If you need help:

• Call the Patent Electronic Business Center at (866) 217-9197 (toll free) or e-Information Retrieval (PAIR).

N G. STATHAKIS COMPANY: 2525 DUPONT DRIVE



UNITED STATES PATENT AND TRADEMARK OFFICE

Facsimile Transmission

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Name:

DEAN G. STATHAKIS

Company:

2525 DUPONT DRIVE

Fax Number: Voice Phone:

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Name:

ASSIGNMENT SERVICES BRANCH

Voice Phone:

571-272-3350

37 C.F.R. 1.6 sets forth the types of correspondence that can be communicated to the Patent and Trademark Office via facsimile transmissions. Applicants are advised to use the certificate of facsimile transmission procedures when submitting a reply to a non-final or final Office action by facsimile (37 CFR 1.8(a)).

Fax Notes:

Pg# Description

Cover Page

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17525 (Bet)

USPTO ASSIGNMENT SYSTEM PROCESSING

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IN G. STATHAKIS COMPANY: 2525 DUPONT DRIVE



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

MAY 11, 2007

JSPTO

PTAS

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DEAN G. STATHAKIS 2525 DUPONT DRIVE T2-7H IRVINE, CA 92612

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 05/11/2007

REEL/FRAME: 019279/0979 NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS). DOCKET NUMBER: 17525 (BOT)

ASSIGNOR:

ATASSI, M. ZOUHAIR

DOC DATE: 07/28/2004

ASSIGNEE:

ALLERGAN, INC. 2525 DUPONT DRIVE T2-7H IRVINE, CALIFORNIA 92612

ASSIGNEE:

BAYLOR COLLEGE OF MEDICINE ONE BAYLOR PLAZA HOUSTON, TEXAS 77030 IN G. STATHAKIS COMPANY: 2525 DUPONT DRIVE

019279/0979 PAGE 2

SERIAL NUMBER: 10821669 FILING DATE: 04/09/2004

PATENT NUMBER: ISSUE DATE:

TITLE: BOTULINUM TOXIN A PEPTIDES AND METHODS OF PREDICTING AND REDUCING

IMMUNORESISTANCE TO BOTULINUM TOXIN THERAPY

SERIAL NUMBER: 11693095 FILING DATE: 03/29/2007

PATENT NUMBER: ISSUE DATE:

TITLE: BONT/A PEPTIDES AND METHODS OF PREDICTING AND REDUCING

IMMUNORESISTANCE TO BOTULINUM TOXIN THERAPY

SERIAL NUMBER: 11693104 FILING DATE: 03/29/2007

PATENT NUMBER: ISSUE DATE:

TITLE: BONT/A PEPTIDES AND METHODS OF PREDICTING AND REDUCING

IMMUNORESISTANCE TO BOTULINUM TOXIN THERAPY

SERIAL NUMBER: 11693111 FILING DATE: 03/29/2007

PATENT NUMBER: ISSUE DATE:

TITLE: BONT/A PEPTIDES AND METHODS OF PREDICTING AND REDUCING

IMMUNORESISTANCE TO BOTULINUM TOXIN THERAPY

ASSIGNMENT SERVICES BRANCH PUBLIC RECORDS DIVISION

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AN G. STATHAKIS COMPANY: 2525 DUPONT DRIVE

PATENT ASSIGNMENT

lectronic Version v1.1 tylesheet Version v1.1 05/11/2007 500275216

SUBMISSION TYPE:

NEW ASSIGNMENT

NATURE OF CONVEYANCE:

ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
M. Zouhair Atassi	07/28/2004

RECEIVING PARTY DATA

Name:	Allergan, Inc.
Street Address:	2525 Dupont Drive
internal Address:	T2-7H
City:	Irvine
State/Country:	CALIFORNIA
Postal Code:	92612

Name:	Baylor College of Medicine
Street Address:	One Baylor Plaza
City:	Houston
State/Country:	TEXAS
Postal Code:	77030

PROPERTY NUMBERS Total: 4

Property Type	Number	
Application Number:	10821669	
Application Number:	11693095	
Application Number:	11693104	
Application Number:	11693111	

CORRESPONDENCE DATA

Fax Number:

(714)246-4249

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Phone:

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Ferguson_Bonnie@Allergan.com

ISPTO

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PAGE

5/005

Fax Server

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Address Line 4:

Irvine, CALIFORNIA 92612

ATTORNEY DOCKET NUMBER:

17525(BOT)

NAME OF SUBMITTER:

Dean G. Stathakis

Total Attachments: 3

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source=17525Assignment#page3.tif

ASSIGNMENT

THIS ASSIGNMENT is made by M. ZOUHAIR ATASSI having a principal place of residence at 11743 CAWDOR WAY, HOUSTON, TEXAS, 77024, (hereinafter ASSIGNOR) to ALLERGAN, INC., having its principal place of business at 2525 DUPONT DRIVE, IRVINE, CALIFORNIA, 92612 and BAYLOR COLLEGE OF MEDICINE, having its principal place of business at ONE BAYLOR PLAZA, HOUSTON, TEXAS, 77030 (hereinafter ASSIGNEES).

WHEREAS, ASSIGNOR has invented and owns a new and useful invention for which an application for Letters Patent of the United States entitled <u>BOTULINUM TOXIN A PEPTIDES AND METHODS OF PREDICTING AND REDUCING IMMUNORESISTANCE TO BOTULINUM TOXIN THERAPY</u> was filed on April 9, 2004, bearing Serial No. 10/821,669;

WHEREAS, ASSIGNOR believes himself to be the original inventor of said invention disclosed and claimed in said application for Letters Patent; and

WHEREAS, as to Baylor College of Medicine, ASSIGNOR acknowledges that he is assigning his right as part of his obligations as College Personnel, under the Baylor College of Medicine Patent Policy; and

WHEREAS, the parties desire to have a recordable instrument assigning the ASSIGNEES as equal co-owners of the entire right, title and interest in, to, and under said invention, said patent application, any patent application entitled to a benefit of priority to said patent application, or any other legal instrument equivalent thereof pertaining to said invention which may be submitted therefor and thereon in the United States of America, its territorial possessions and in any and all foreign countries, and any Letters Patent or any other legal instrument equivalent thereof pertaining to said invention which may be granted therefor and thereon in the United States of America, its territorial possessions and in any and all foreign countries:

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that in consideration of the payment by ASSIGNEES to ASSIGNOR of the sum of One Dollar (\$1.00) and for other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, the ASSIGNOR hereby sells, assigns, transfers and sets over in equal share to ASSIGNEES, their successors, legal representatives and assigns, the full and exclusive right, title and interest in, to, and under said invention, said patent application, any patent application entitled to a benefit of priority to said patent application, or any other legal instrument equivalent thereof including, without limitation, continuation, division, continuation-in-part, substitute, reexamination, reissue, renewal, extensions, inventor's certificate, utility model or any other legal instrument equivalent thereto, pertaining to said invention which may be submitted therefor and thereon in the United States of America, its territorial possessions and in any and all foreign countries, and any Letters Patent or any other legal instrument equivalent thereof pertaining to said invention which may be granted therefor and thereon in the United States of America, its territorial

possessions and in any and all foreign countries; and the full and exclusive right, title and interest in, to and under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by said ASSIGNEES, their successors, legal representatives and assigns, for the use of said ASSIGNEES, their successors, legal representatives and assigns, for the full end of the term or terms for which the same may be granted, as fully and entirely as the same would have been held and enjoyed by the ASSIGNOR, had this sale and assignment not been made.

AND for the same consideration, said ASSIGNOR hereby covenants and agrees to and with said ASSIGNEES their successors, legal representatives and assigns, that, at the time of execution and delivery of said consideration, said ASSIGNOR is the sole and lawful owner of the full and exclusive right, title and interest in, to and under said invention, said patent application, any patent application entitled to a benefit of priority to said patent application, or any other legal instrument equivalent thereof pertaining to said invention which may be submitted therefor and thereon in the United States of America, its territorial possessions and in any and all foreign countries, and any Letters Patent or any other legal instrument equivalent thereof pertaining to said invention which may be granted therefor and thereon in the United States of America, its territorial possessions and in any and all foreign countries; and that no sale, assignment, transfer, or any other agreement or encumbrance was or will be made or entered into which would conflict with this assignment and sale, and that said ASSIGNOR has good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, said ASSIGNOR further covenants and agrees to and with said ASSIGNEES, their successors, legal representatives and assigns, that where lawful and desirable and whenever requested by said ASSIGNEES, their successors, legal representatives and assigns, said ASSIGNOR will promptly provide any and all known and accessible facts, data or any other pertinent information thereof; promptly execute and deliver any and all papers, documents, forms, declarations, oaths, affidavits and other legal instrument thereof; promptly assist and participate in any and all depositions, hearings, and trials; and testify under oath in any and all interference, litigation or any other judicial proceeding thereof; and promptly complete any and all actions necessary or desirable to carry out any and all purposes thereof, relating to any and all proceedings in connection with the submission, procurement, issuance, maintenance, enforcement or defense relating to said invention, said patent application, any patent application entitled to a benefit of priority to said patent application, or any other legal instrument equivalent thereof pertaining to said invention which may be submitted therefor and thereon in the United States of America, its territorial possessions and in any and all foreign countries, and any Letters Patent or any other legal instrument equivalent thereof pertaining to said invention which may be granted therefor and thereon in the United States of America, its territorial possessions and in any and all foreign countries; without charge to said ASSIGNEES, their successors, legal representatives and assigns, but at the cost and expense of said ASSIGNEES, their successors, legal representatives and assigns.

AND said ASSIGNOR hereby authorizes and requests that any Letters Patent or any other legal instrument equivalent thereof pertaining to said invention which may be granted therefor and thereon in the United States of America, its territorial possessions and in any and all foreign countries be issued to said ASSIGNEES, their successors, legal representatives and assigns as the ASSIGNEES of the full and exclusive right, title and interest in, to, and under said invention, for the sole use of said ASSIGNEES, their successors, legal representatives and assigns.

IN WITNES	S THEREOF, I have executed this Assign	nment on this 28 day of July
2004. ; i ;		M. Za. Rair Otani .
		M. Zouhair Atassi
Acknowledgement		
State of TEXAS)	•
٠) ss:	.**
County of HARRIS)	
personally appeared satisfactory evidence acknowledged to me	ce, to be the person whose name is e that he executed the same in his auth	M. Zouhair Atass, own to me, or proved to me on the basis of a subscribed to the within instrument, and horized capacity, and that by his signature on the person acted, executed the instrument.

WITNESS by my hand and official seal.



Notary Public